

Guarantee Advice Closure - Islamic User Guide
**Oracle Banking Trade Finance Process
Management**

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Oracle Banking Trade Finance Process Management - Guarantee Advice Closure - Islamic User Guide
Oracle Financial Services Software Limited

Oracle Park
Off Western Express Highway
Goregaon (East)
Mumbai, Maharashtra 400 063
India
Worldwide Inquiries:
Phone: +91 22 6718 3000
Fax: +91 22 6718 3001
www.oracle.com/financialservices/

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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing Trade Finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle Trade Finance transaction.
- Help users to conveniently create and process Trade Finance transaction

Overview

OBTFPM is a Trade Finance Middle Office platform, which enables bank to streamline the Trade Finance operations. OBTFPM enables the customers to send request for new Trade Finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps Banks to manage Trade Finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all Trade Finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Guarantee Advice Closure - Islamic

As part of Conventional Guarantee Advice Close, System enables the user to close the Guarantee which had been already Advised.

The various stages involved for Guarantee Advice Close are:

- Receive and verify documents and input basic details- Registration stage
- Upload of related mandatory and non-mandatory documents
- Input/Modify
- details of Close of Guarantee - Data Enrichment stage
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Guarantee Advice Close process flow is similar to that of conventional Guarantee Advice Close process flow.

In the following sections, let's look at the details for Guarantee Advising process:

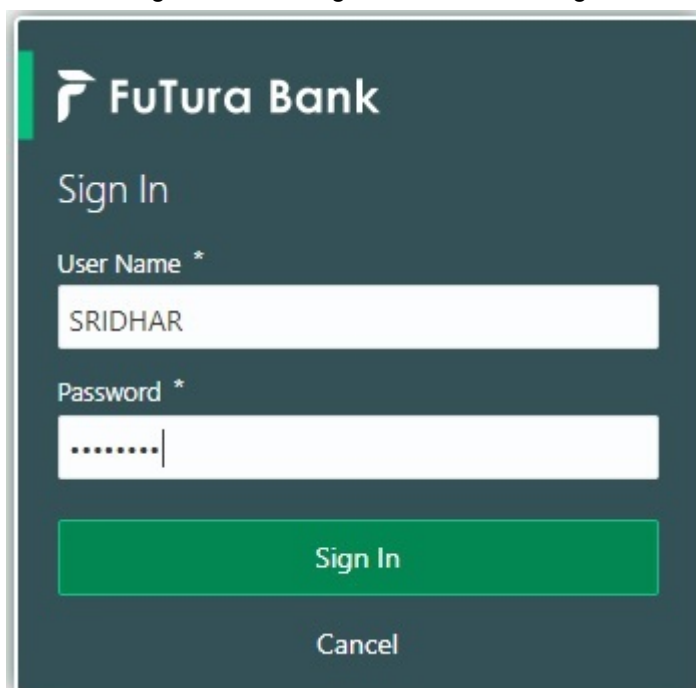
This section contains the following topics:

Registration	Data Enrichment
Multi Level Approval	Customer - Acknowledgement letter Format

Registration

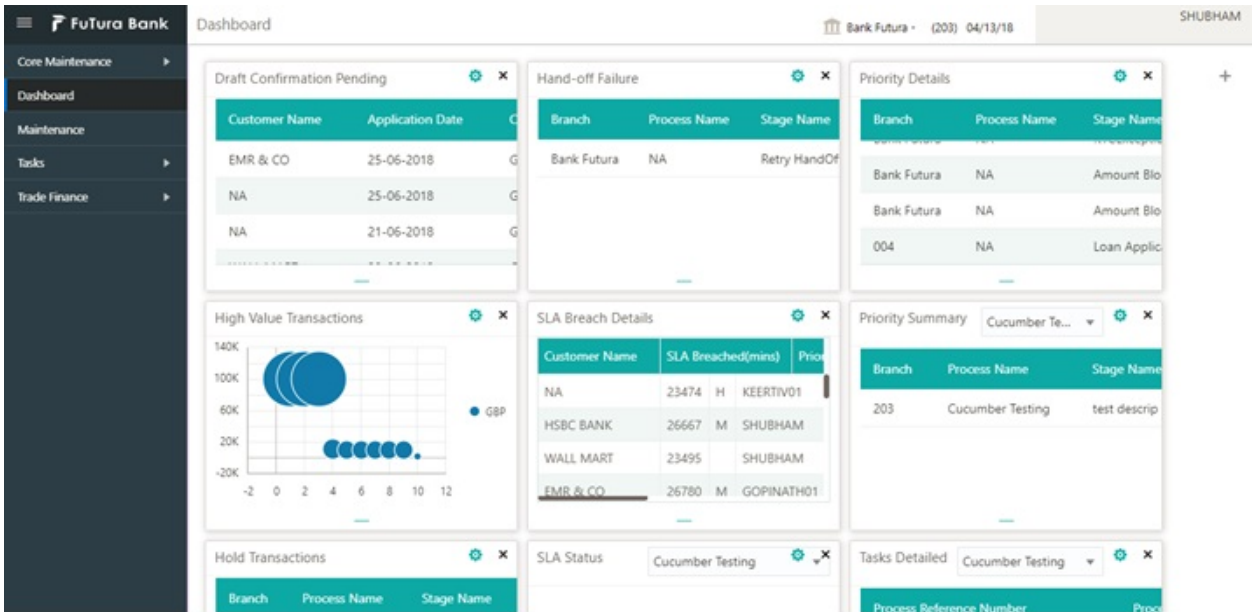
As a registration user, you can register a Guarantee Advice closure request received at the front desk (as an application received physically/received by mail/fax). During registration stage, user can capture the basic details, check the signature of the authorized signatory of the Guarantee Issuing Bank and upload the guarantee. On submit, the request will be available for an Guarantee Advice Close expert to handle the request in the next stage.

1. Using the entitled login credentials for registration stage, login to the OBTFPM application.

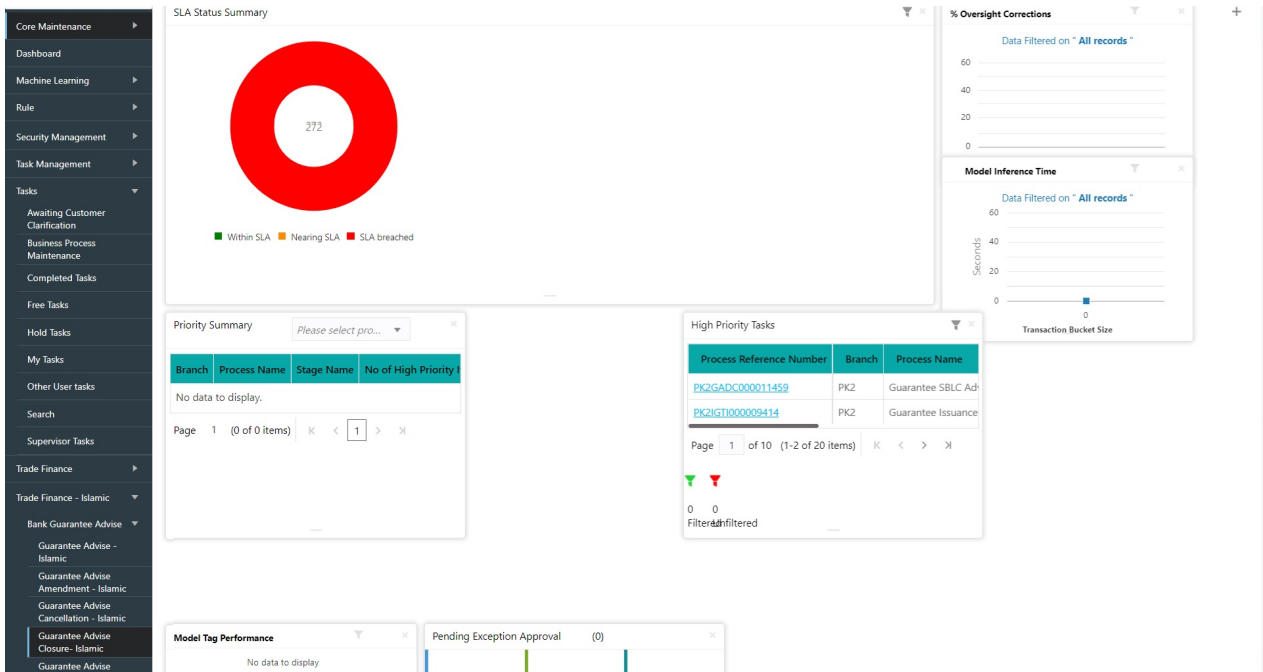


The screenshot shows a dark-themed login window for FuTura Bank. At the top left is the FuTura Bank logo. Below it, the text 'Sign In' is displayed. There are two input fields: 'User Name *' containing the text 'SRIDHAR' and 'Password *' containing masked characters. Below these fields are two buttons: a green 'Sign In' button and a white 'Cancel' button.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance - Islamic > Bank Guarantee Advice > Guarantee Advise Closure - Islamic.



The registration stage has two sections Application Details and Guarantee Details. Let's look at the registration stage:

Application Details

Guarantee Advise Closure- Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Advising Bank Reference Number PK2GUA1211253001	Beneficiary * 001044 GOODCARE PLC	Branch * PK2-Oracle Banking Trade Finan...	32B - Currency Code, Amount * GBP £5,555.00
Amount In Local Currency GBP £5,555.00	Priority * Medium	Submission Mode * Desk	Process Reference Number PK2IGCD000071813
Closure Date Jun 11, 2021	Issuer * 001183 RABO BANK		

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

22D - Form of Undertaking	Product Code GUA1	Product Description Islamic Export LC - advising of Guarant...	20 - Undertaking Number
22A - Purpose of Message	23X - File Identification	23X - Narrative	22K - Type of Undertaking OTHR - Other delivery channel
22K - Narrative	30 - Date of Issue May 5, 2021	23B - Expiry Type COND	Date of Expiry May 12, 2021
35G - Expiry Condition/Event ArunExpiry	Applicant 001043 MARKS AND SP	51 - Obligor/ Instructing Party	40C - Applicable Rules URDG - Uniform rules for dema...
40C - Narrative	39D - Additional Amounts		

Hold Cancel Save & Close Submit

Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Advising Bank Reference Number	The user can input the Advising Reference.. Alternatively, user can search the Advising Bank Reference Number using LOV. As part of LOV criteria; user can input the Customer Id, Beneficiary name, Currency and amount.	
Beneficiary	Read only field. The Beneficiary of Guarantee/SBLC as per the latest Guarantee/SBLC details should be displayed.	Toggle off
Branch	Read only field. System will default the branch from Guarantee Advise.	
Currency Code, Amount	The amount of Undertaking as per the latest Guarantee/SBLC details should be displayed..	GBP, 1200
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Priority	This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Guarantee Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High

Field	Description	Sample Values
Submission Mode	Select the submission mode of Guarantee Advice request. By default the submission mode will have the value as 'Desk'. Desk - Request received through Desk Courier - Request received through Courier	Desk
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV00 15920
Closure Date	System will default branch date.	04/13/2018
Issuer	The Issuing Bank as per the latest Guarantee/SBLC details should be displayed.	

Guarantee Details

Registration user can provide Guarantee Details in this section. Alternately, Guarantee Details can be provided by DE user.

Provide the Guarantee Details based on the description in the following table:

Field	Description	Sample Values
Form of Undertaking	Read only field. Form of Undertaking (Guarantee/Standby LC) as per the latest Guarantee/SBLC details is displayed.	
Product Code	Read only field. The product code used for SBLC/Guarantee advise should be displayed.	GUJA
Product Description	Read only field. The Product description as per the latest Guarantee/SBLC advise is displayed.	Guarantee Advising
Undertaking Number	The undertaking number as per the latest Guarantee/SBLC advise is displayed.	
Purpose of message	Read Only – The Purpose of message (ACNF/ ADVI) used during SBLC/Guarantee advise should be displayed.	

Field	Description	Sample Values
File Identification	Read Only - The File Identification as per the latest Guarantee/SBLC advise details should be displayed. only and populated from Incoming MT 760.	
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Type of Undertaking	Read only field. The type of Undertaking as per the latest Guarantee/SBLC advise details is displayed.	Financial Guarantee
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Date of Issue	Read Only - The date of issue as per the latest Guarantee/SBLC advise details should be displayed.	04/13/18
Expiry Type	Read only field. The type of Expiry as per the latest Guarantee/SBLC advise details is displayed.	
Date Of Expiry	Read only field. The date of Expiry as per the latest Guarantee/SBLC advise details is displayed.	09/30/18
Expiry Condition/Event	Read only field. The expiry condition/event as per the latest Guarantee/SBLC advise details is displayed.	
Applicant	Read only field. Applicant details will be auto populated based on the details provided in Application Details section.	001345 Nestle
Obligor/Instructor Party	Read Only - The Obligor/Instructing Party as per the latest Guarantee/SBLC advise details should be displayed.	
Application Rules	Read Only - Applicable Rules as per the latest Guarantee/SBLC advise details should be displayed.	URDG - Uniform rules for demand guarantees
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Additional Amounts	Read only field. Any additional amounts related to undertaking as per the latest Guarantee/SBLC advise details is displayed.	

Miscellaneous

Guarantee Advise Closure- Islamic

Documents Remarks Customer Instruction Common Group Messages

Application Details

Advising Bank Reference Number
PK2GUA211253001

Amount In Local Currency
GBP £5,555.00

Closure Date
Jun 11, 2021

Beneficiary *
001044 GOODCARE PLC

Priority *
Medium

Issuer *
001183 RABO BANK

Branch *
PK2-Oracle Banking Trade Finan...

Submission Mode *
Desk

32B - Currency Code, Amount *
GBP £5,555.00

Process Reference Number
PK2IGCD000071813

View Guarantee/SBLC Guarantee/SBLC Events

Guarantee Details

22D - Form of Undertaking

22A - Purpose of Message

22K - Narrative

35G - Expiry Condition/Event
ArunExpiry

40C - Narrative

Product Code
GUAJ

23X - File Identification

30 - Date of Issue
May 5, 2021

Applicant
001043 MARKS AND SP

39D - Additional Amounts

Product Description
Islamic Export LC - advising of Guarant...

23X - Narrative

23B - Expiry Type
COND

51- Obligor/ Instructing Party

20 - Undertaking Number

22K - Type of Undertaking
OTHR - Other delivery channel

Date of Expiry
May 12, 2021

40C - Applicable Rules
URDG - Uniform rules for dema...

Hold Cancel Save & Close Submit

Enables the user to upload required documents. Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.: The possible documents submitted under Guarantee/SBLC Advise closure request are: 1. Guarantee/SBLC Advise Closure request	
Remarks	Provide any additional information regarding the Guarantee Advice. This information can be viewed by other users processing the request.	
Customer Instructions	Click to view/ input the following <ul style="list-style-type: none"> Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	

Action Buttons

Field	Description	Sample Values
Submit	On Submit, system will give confirmation message for successful submission. Task will get moved to next logical stage of Guarantee Advice. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancels the Guarantee Advice Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant.	
Checklist	Make sure that the details in the checklist are completed and acknowledge.	

Data Enrichment

On successful completion of registration of an Guarantee advice closure request, the request moves to DE stage.

Guarantee Advice requests that were received at the desk will move to DE stage post successful registration. The request will have the details entered during the registration stage.

The DE user can view the latest Guarantee/SBLC Advise values displayed in the respective fields. Do the following steps to acquire a task currently at DE stage:

1. Using the entitled login credentials for DE stage, login to the OBTFPM application.

2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.

The dashboard for FuTura Bank displays several key performance indicators and task-related widgets. On the left, a navigation menu includes options like Core Maintenance, Dashboard, Maintenance, Tasks, and Trade Finance. The main dashboard area contains:

- Draft Confirmation Pending:** A table listing customer names (EMR & CO, NA) and application dates (25-06-2018, 21-06-2018).
- Hand-off Failure:** A table showing branch (Bank Futura), process name (NA), and stage name (Retry HandOf).
- Priority Details:** A table with columns for Branch, Process Name, and Stage Name, listing items like Amount Blo and Loan Applic.
- High Value Transactions:** A bubble chart showing transaction values on the y-axis (ranging from -20K to 140K) and a category on the x-axis (0 to 12). A legend indicates 'GBP'.
- SLA Breach Details:** A table listing customer names (NA, HSBC BANK, WALL MART, EMR & CO), SLA Breached (mins) (23474, 26667, 23495, 26780), and priority (H, M, M, M).
- Priority Summary:** A table with columns for Branch, Process Name, and Stage Name, showing 'Cucumber Testing' and 'test descrip'.
- Hold Transactions:** A table with columns for Branch, Process Name, and Stage Name.
- SLA Status:** A dropdown menu currently set to 'Cucumber Testing'.
- Tasks Detailed:** A dropdown menu currently set to 'Cucumber Testing'.

3. Click **Tasks > Free Tasks**.

The Oracle Free Tasks page displays a list of tasks. The interface includes a navigation menu on the left and a top header with user information (ZARTAB01, subham@gmail.com). The task list is as follows:

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/>	Medium	Islamic Guarantee Advice Closure	PK2IGCD000011999	PK2IGCD000011999	DataEnrichment	22-03-31	PK2	001044
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Upd...	PK2GISCO00011974	PK2GISCO00011974	DataEnrichment	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Upd...	PK2GISCO00011973	PK2GISCO00011973	Approval Task Level 1	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Upd...	PK2GISCO00011972	PK2GISCO00011972	Approval Task Level 1	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Upd...	PK2GISCO00011970	PK2GISCO00011970	DataEnrichment	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Upd...	PK2GISCO00011969	PK2GISCO00011969	DataEnrichment	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Upd...	PK2GISCO00011967	PK2GISCO00011967	KYC Exceptional approval	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Upd...	PK2GISCO00011966	PK2GISCO00011966	Approval Task Level 1	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Upd...	PK2GISCO00011963	PK2GISCO00011963	KYC Exceptional approval	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Upd...	PK2GISCO00011962	PK2GISCO00011962	KYC Exceptional approval	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Set...	PK2GISCO00012003	PK2GISCO00012003	DataEnrichment	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Set...	PK2GISCO00012002	PK2GISCO00012002	DataEnrichment	22-03-31	PK2	000325
<input type="checkbox"/>	Medium	Guarantee SBLC Issuance-Claim Set...	PK2GISCO00011990	PK2GISCO00011990	DataEnrichment	22-03-31	PK2	000325

4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.

The Oracle Free Tasks page displays a list of tasks. The interface includes a navigation menu on the left and a top header with user information (ZARTAB02, subham@gmail.com). The task list is as follows:

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/>	Medium	Islamic Guarantee Advice Clos...	PK2IGCD000071816	PK2IGCD000071816	DataEnrichment	22-03-31	PK2	001044
<input type="checkbox"/>	Medium	Islamic Guarantee Advice Clos...	PK2IGCD000071813	PK2IGCD000071813	DataEnrichment	22-03-31	PK2	001044
<input type="checkbox"/>	Medium	GuaranteeAdv Amendment Be...	PK2IGAA000071759	PK2IGAA000071759	KYC Exceptional approval	22-03-30	PK2	001044
<input type="checkbox"/>	Medium	Guarantee advise claim lodging	PK2GADC000071735	PK2GADC000071735	DataEnrichment	22-03-29	PK2	001044
<input type="checkbox"/>	Medium	Guarantee SBLC Advised-Claim...	PK2IGAC000071729	PK2IGAC000071729	AmountBlock Exception App...	22-03-29	PK2	001044
<input type="checkbox"/>	Medium	Guarantee advise claim lodging	PK2GADC000071693	PK2GADC000071693	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/>	Medium	Guarantee SBLC Advised-Claim...	PK2GADC000071689	PK2GADC000071689	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/>	Medium	Guarantee advise claim lodging	PK2GADC000071686	PK2GADC000071686	DataEnrichment	22-03-28	PK2	001044
<input type="checkbox"/>	Medium	Import LC Issuance	PK1ILCO00071684	PK1ILCO00071684	Handoff RetryTask	22-03-27	PK2	000325
<input type="checkbox"/>	Medium	Guarantee Issuance Amendme...	PK2IGTM000071683	PK2IGTM000071683	Registration	22-03-26	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Issuance Amendme...	PK2IGTM000071682	PK2IGTM000071682	Registration	22-03-26	PK2	001044
<input type="checkbox"/>	High	Guarantee Advice	PR2GTEA000071660	PR2GTEA000071660	Registration	22-03-25	PK2	000325
<input type="checkbox"/>	Medium	Guarantee Issuance Closure	PK2GTGEC000071658	PK2GTGEC000071658	DataEnrichment	22-03-25	PK2	000325

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to edit the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/> Edit	Medium	Islamic Guarantee Advice Closure	PK2IGCD000071816	PK2IGCD000071816	DataEnrichment	22-03-31	PK2	001044
<input type="checkbox"/> Edit	Medium	Islamic Guarantee Advice Closure	PK2IGCD000071813	PK2IGCD000071813	DataEnrichment	22-03-31	PK2	001044
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Closure Isla...	PK2IGCL000071804	PK2IGCL000071804	DataEnrichment	22-03-31	PK2	000153
<input type="checkbox"/> Edit	Medium	Guarantee Cancellation Islamic	PK2IGCI000071767	PK2IGCI000071767	Approval Task Level 1	22-03-30	PK2	001044
<input type="checkbox"/> Edit	Medium	Guarantee SBLC Advised-Claim ...	PK2IGAC000071725	PK2IGAC000071725	Approval Task Level 1	22-03-28	PK2	001204
<input type="checkbox"/> Edit	Medium	Islamic Export LC Closure	PK2IECL000071551	PK2IECL000071551	Approval Task Level 1	22-03-23	PK2	001043
<input type="checkbox"/> Edit	Medium	Islamic ExportLC Amendment Be...	PK2IETB000071466	PK2IETB000071466	KYC Exceptional approval	22-03-22	PK2	001204
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Amendment...	PK2IGTM000071450	PK2IGTM000071450	Registration	22-03-22	PK2	000153
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Amendment...	PK2IGTM000071448	PK2IGTM000071448	Registration	22-03-22	PK2	001044
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071396	PK2GTEC000071396	DataEnrichment	22-03-17	PK2	001044
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Closure	PK2GTEC000071394	PK2GTEC000071394	DataEnrichment	22-03-17	PK2	001044
<input type="checkbox"/> Edit	Medium	Guarantee Advise Amendment	PK2GTAA000071391	PK2GTAA000071391	DataEnrichment	22-03-17	PK2	001044
<input type="checkbox"/> Edit	---	Guarantee Issuance Closure	PK2GTEC000071390	PK2GTEC000071390	Registration	22-03-17	PK2	001044

The Data Enrichment stage has three sections as follows:

- Main Details
- Additional Fields
- Advices
- Additional Details
- Settlement Details
- Summary

Let's look at the details for DE stage. User can enter/update the following fields. Some of the fields that are already having value from registration/online channels may not be editable.

Main Details

As part of data enrichment, user can enter/update basic details of the incoming request. Main details section has three sub section as follows:

- Application Details
- Guarantee Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** field. Refer to [Application Details](#) for more information of the fields.

Islamic Guarantee Advice Closure
DataEnrichment :: Application No:- PK2IGCD000011999

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Main Details Additional Fields Advices Additional Details Settlement Details Summary

Main Details

Application Details

Advising Bank Reference Number
PK2IGUAI211252007

Amount In Local Currency
GBP £3,333.00

Closure Date
Dec 1, 2021

Beneficiary
001044 GOODCARE PLC

Priority *
Medium

Issuer *
001183 RABO BANK

Branch
PK2-Oracle Banking Trade Finan...

Submission Mode
Desk

32B - Currency Code, Amount
GBP £3,333.00

Process Reference Number
PK2IGCD000011999

Guarantee Details

22D - Form of Undertaking

22A - Purpose of Message

22K - Narrative

35G - Expiry Condition/Event
RajExpiry

40C - Narrative

Product Code
GUAI

23X - File Identification

30 - Date of Issue
May 5, 2021

Applicant
001043 MARKS AND SPI

39D - Additional Amounts

Product Description
Islamic Export LC - advising of Guarant

23X - Narrative

23B - Expiry Type
COND

51 - Obligor/ Instructing Party

20 - Undertaking Number

22K - Type of Undertaking
OTHR - Other delivery channel

Date of Expiry
Nov 1, 2021

40C - Applicable Rules
URDG - Uniform rules for dema...

Audit

Reject Refer Hold Cancel Save & Close Back Next

Screen (1 / 6)

Field	Description	Sample Values
Advising Bank Reference Number	Read Only - The Advising Bank Reference Number as per the latest Guarantee/SBLC advise details should be displayed.	
Beneficiary	Read only field. The Beneficiary of Guarantee/SBLC as per the latest Guarantee/SBLC advise details should be displayed.	Toggle off
Branch	Read only field. System will default the branch from Guarantee Advise.	
Currency code, Amount	The amount of Undertaking as per the latest Guarantee/SBLC advise details should be displayed..	GBP
Amount In Local Currency	System fetches the local currency equivalent value for the transaction amount from back office (with decimal places).	
Priority	This field will be defaulted based on the priority maintenance, also enables the user to change the priority as per the requirement. Set the priority of the Guarantee Advice request as Low/Medium/High. If priority is not maintained for a customer, 'Medium' priority will be defaulted.	High
Submission Mode	Read Only - Submission mode should default as 'Desk'. User should be allowed to change the defaulted mode to another mode. Allowed values are Desk, Fax, Email and Courier.	Desk
Process Reference Number	Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	203GTEADV00 15920
Closure Date	Read Only - System will default branch date.	04/13/2018
Issuer	Read Only - The Issuing Bank as per the latest Guarantee/SBLC details should be displayed.	

Guarantee Details

The fields listed under this section are same as the fields listed under the [Guarantee Details](#) section in

Registration. Refer to [Guarantee Details](#) for more information of the fields.

Field	Description	Sample Values
Form of Undertaking	Read only field. Form of Undertaking (Guarantee/Standby LC) as per the latest Guarantee/SBLC details is displayed.	
Product Code	Read only field. The product code used for SBLC/Guarantee advise should be displayed.	GUIA
Product Description	Read only field. The Product description as per the latest Guarantee/SBLC advise is displayed.	Guarantee Advising
Undertaking Number	The undertaking number as per the latest Guarantee/SBLC advise is displayed.	
Purpose of message	Read Only – The Purpose of message (ACNF/ADVI) used during SBLC/Guarantee advise should be displayed.	
File Identification	Read Only - The File Identification as per the latest Guarantee/SBLC advise details should be displayed. only and populated from Incoming MT 760.	
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Type of Undertaking	Read only field. The type of Undertaking as per the latest Guarantee/SBLC advise details is displayed.	Financial Guarantee
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Date of Issue	Read Only - The date of issue as per the latest Guarantee/SBLC advise details should be displayed.	04/13/18

Field	Description	Sample Values
Expiry Type	Read only field. The type of Expiry as per the latest Guarantee/SBLC advise details is displayed.	
Date Of Expiry	Read only field. The date of Expiry as per the latest Guarantee/SBLC advise details is displayed.	09/30/18
Expiry Condition/Event	Read only field. The expiry condition/event as per the latest Guarantee/SBLC advise details is displayed.	
Applicant	Read only field. Applicant details will be auto populated based on the details provided in Application Details section.	001345 Nestle
Obligor/Instructor Party	Read Only - The Obligor/Instructing Party as per the latest Guarantee/SBLC advise details should be displayed.	
Application Rules	Read Only - Applicable Rules as per the latest Guarantee/SBLC advise details should be displayed.	URDG - Uniform rules for demand guarantees
Narrative	Read Only – Any kind of Narrative/Additional text as per the latest Guarantee/SBLC advise details should be displayed.	
Additional Amounts	Read only field. Any additional amounts related to undertaking as per the latest Guarantee/SBLC advise details is displayed.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	

Field	Description	Sample Values
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> ● Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. ● Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	Click Common Group Message button, to send MT799 and MT999 messages from within the task.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Guarantee Advice Closure DE inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Additional Fields

DE user can view the additional fields implemented by the bank for Guarantee Advise Closure. Banks can configure these additional fields during implementation.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Guarantee Advice Closure DE inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Back	Click Back to move to previous step in DE stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Advices

This section defaults the advices for Closure of Islamic Guarantee/SBLC Advise, based on the advices maintained at the Product level.

DE User can view and verify the advices generated for Closure of Guarantee/SBLC Advise request. Some of the possible advices are Closure of Guarantee/SBLC Issued and Payment Message.

Islamic Guarantee Advice Closure
DataEnrichment :: Application No:- PK2IGCD000011999

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Main Details Additional Fields **Advices** Additional Details Settlement Details Summary

Screen (3 / 6)

Advice : LC_CLOSE_ADV	Advice : PAYMENT_MESS...
Advice Name : LC_CLOSE_ADV Advice Party : BEN Party Name : GOODCARE PLC Suppress : NO Advice	Advice Name : PAYMENT_MESSAGE Advice Party : Party Name : Suppress : NO Advice

Audit Reject Refer Hold Cancel Save & Close Back Next

The user can also suppress the Advice, if required.

Advice Details

Advice Details

Suppress Advice

Party ID: 001044

Advice Name: GUA_CLAIM_ADV
Medium: MAIL
Advice Party: APP
Party Name: GOODCARE PLC



FFT Code

No data to display.

Instructions

OK Cancel

Field	Description	Sample Values
Suppress Advice	Toggle on: Switch on the toggle if advice is suppressed. Toggle off: Switch off the toggle if suppress advice is not required for the amendments	
Advice Name	User can select the instruction code as a part of free text.	
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	
Party ID	Value be defaulted from Guarantee /SBLC Issuance. User can update if required.	

Field	Description	Sample Values
Party Name	Read only field. Value be defaulted from Guarantee /SBLC Issuance.	
Free Format Text		
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click plus icon to add new FFT code.	
Action	Click Delete icon to remove any existing FFT code. Click Edit icon to edit the existing FFT code.	
Instruction Details		
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the FFT code selected.	
	Click plus icon to add new instruction code.	
Action	Click Delete icon to remove any existing instruction code. Click Edit icon to edit the existing instruction code.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Guarantee Advice Closure DE inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	
Back	Click Back to move to previous step in DE stage.	

Additional Details

DE User can view the Additional Details during Guarantee Advise Closure request. Some of the possible additional details could be:

- Limits and Collateral (Non-editable)
- Commission, Charges and Taxes
- Preview Messages (Non-editable)

The screenshot displays the Oracle Data Enrichment (DE) interface for a 'Guarantee Advice Closure' request. The application number is PK2GTAC000056649. The 'Additional Details' section is active, showing two panels: 'Limit & Collateral' and 'Charge Details'.

Limit & Collateral		Charge Details	
Limit Currency	:	Charge	:
Limit Contribution	:	Commission	:
Limit Status	:	Tax	:
Collateral Currency	: GBP	Block Status	:
Collateral Contribution	: 2700		
Collateral Status	:		

The interface also features a navigation menu on the left with options like 'Main Details', 'Additional Fields', 'Advices', 'Additional Details' (selected), 'Settlement Details', and 'Summary'. The bottom toolbar includes buttons for 'Audit', 'Reject', 'Refer', 'Hold', 'Cancel', 'Save & Close', 'Back', and 'Next'.

Charge Details

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Provide the Charge Details based on the description provided in the following table:

Charge Details
✕

Recalculate
Redefault

⏏ Charge Details

Component	Currency	Amount	Modified	Billing	Defer	Waive	Charge Party	Settlement Account
LICLSCHG	GBP	£50.00		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>		PK1000325025 🔍

⏏ Commission Details

Component	Rate	Modified	Currency	Amount	Modified	Defer	Waive	Charge Party	Settlement Account
No data to display.									

Page 1 (0 of 0 items) | ⏪ < 1 > ⏩

⏏ Tax Details

Edit	Component	Currency	Amount	Billing	Defer	Settlement Account
No data to display.						

Save & Close
Close

Field	Description	Sample Values
Component	Charge Component type.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified Amount	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.	
Defer	If charges have to be deferred and collected at any future step, this check box has to be selected.	
Waive	If charges have to be waived, this check box has to be selected. Based on the customer maintenance, the charges should be marked for Billing or for Defer.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant	
Settlement Account	Details of the settlement account.	

Commission Details

Provide the Commission Details based on the description provided in the following table:

Field	Description	Sample Values
Component	Select the commission component	

Field	Description	Sample Values
Rate	Defaults from product. User can change the rate, if required.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. User can modify the value, if required.	
Modified Amount	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Billing	<p>If charges/commission is handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Defer	<p>Select the check box, if charges/commissions has to be deferred and collected at any future step.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>Select the check box to waive charges/commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Charge Party	Charge party will be 'Beneficiary' by Default. You can change the value to Applicant.	

Field	Description	Sample Values
Settlement Account	Details of the Settlement Account.	

Tax Details

The tax component is calculated based on the commission. The tax component defaults if maintained in the product level. Tax detail cannot be updated by you and any change in Tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Provide the Tax Details based on the information in the following table:

Field	Description	Sample Values
Component	Tax Component type	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. You can edit the same.	
Settlement Account	Details of the settlement Account.	
Charges from Beneficiary	Input the amount to be collected from beneficiary on account of this transaction.	

Limits and Collaterals

Limit & Collateral ×

◀ Limit Details +

<input type="checkbox"/>	Customer ID	Line ID	Contribution %	Contribution Currency	Contribution Amount	Limit Check Response	Response Message	Edit	Delete
<input type="checkbox"/>	000153		100	GBP	\$10,000.00			000153	

◀ Collateral Details +

Collateral Type	Collateral %	Currency	Contribution Amount	Settlement Account	Account Balance Check Response	Response Message	Edit	Delete
Cash Collateral	10	GBP	\$1,000.00	PK1000325025			Cash Collateral	

Save & Close
Close

Limit Details

Limit Details ×

Customer Id
001044

Contribution % *
100.0

Contribution Currency
GBP

Limit Currency
GBP

Limit Check Response
Available

Expiry Date

Verify

Line ID *
001044

Limits Description

Contribution Amount *
£11,000.00

Limit Available Amount
£999,528,418,464.36

Response Message
The Earmark can be performed as the f

Save & Close
Close

Field	Description	Sample Values
Limit Details	Read Only field. Customer ID: Applicant's/Applicant Bank customer ID will get defaulted.	
Line ID	Read Only field. The various lines available and mapped under the customer id.	
Contribution %	Read Only field. System will default this to 100%.	
Contribution Currency	Read Only field. The guarantee currency will be defaulted in this field.	

Field	Description	Sample Values
Contribution Amount	Read Only field. Contribution amount will default based on the contribution %.	
Limit Currency	Read Only field. Limit Currency will be defaulted in this field.	
Limit Available Amount	Read Only field. This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount.	
Limit Check Response	Read Only field. Response can be 'Success' or 'Limit not Available'.	
Response Message	Read Only field. Detailed Response message.	
Expiry Date	This field displays the date up to which the Line is valid	

Collateral Details

Limits & Collaterals is non-editable.

Collateral Details
✕

Collateral Type *
Cash Collateral ▼

Currency
GBP

Settlement Account *
PK20010440017 🔍

Settlement Account Currency
GBP

Response

Verify

Collateral % *
9.0 ▼ ▲

Contribution Amount *
£2,700.00

Settlement Account Branch
PK2

Account Available Amount

Response Message

✓ Save & Close
✕ Cancel

Field	Description	Sample Values
Collateral Type	<p>Read only field.</p> <p>Cash Collateral (CASA) will be the default value available as collateral type.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Collateral %	<p>Read Only field.</p> <p>The percentage of collateral to be linked to this transaction.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Currency	<p>Read Only field.</p> <p>The guarantee currency will get defaulted in this field.</p>	
Contribution Amount	<p>Read Only field.</p> <p>Collateral contribution amount will get defaulted in this field.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
Settlement Account	<p>Read Only field.</p> <p>The settlement account for the collateral.</p>	
Settlement Account Branch	<p>Read Only field.</p> <p>Settlement Account Branch will be auto-populated based on the Settlement Account.</p>	

Field	Description	Sample Values
Settlement Account Currency	Read Only field. The Settlement Account Currency.	
Account Available Amount	Read Only field. Account Available Amount will be auto-populated based on the Settlement Account.	
Response	Read Only field. Response can be 'Success' or 'Amount not Available'.	
Response Message	Read only field. Detailed Response message.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	
Remarks	Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request. Content from Remarks field should be handed off to Remarks field in Backend application.	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Guarantee Advice DE inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Back	Click Back to move to previous step in DE stage.	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Settlement Details

The user can verify and enter the basic settlement details during Closure of Islamic Guarantee advised request.

Islamic Guarantee Advice Closure
DataEnrichment :: Application No:- PK2IGCD000011999

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Main Details Additional Fields Advices Additional Details Settlement Details Summary

Settlement Details
 Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event
CLAIM_CUST_AMT	GBP	Debit	PK1000325025	NATIONAL FREIGHT CORP	GBP	No	No
COLLAMT_OSEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	Yes
COLL_AMNDAMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMTEQ	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMT_DECR	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AMT_INCR	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No
COLL_AVALAMTEQ	GBP	Credit	PK20010440017	GOODCARE PLC	GBP	No	No
LICLSCHG_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	Yes
LICOURAMND_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No

LICLSCHG_LIQD - Party Details

Transfer Type: None

Charge Details: Remitter All Charges

Netting Indicator: [Dropdown]

Ordering Customer: [Search]

Ordering Institution: [Search]

Senders Correspondent: [Search]

Receivers Correspondent: [Search]

Account With Institution: [Search]

Beneficiary Institution: [Search]

Ultimate Beneficiary: [Search]

Intermediary Institution: [Search]

Intermediary Reimbursement Institution: [Search]

Payment Details

Sender To Receiver 1: [Text Box]

Sender To Receiver 2: [Text Box]

Sender To Receiver 3: [Text Box]

Sender To Receiver 4: [Text Box]

Sender To Receiver 5: [Text Box]

Sender To Receiver 6: [Text Box]

Remittance Information

Payment Detail 1: [Text Box]

Payment Detail 2: [Text Box]

Payment Detail 3: [Text Box]

Payment Detail 4: [Text Box]

Audit Reject Refer Hold Cancel Save & Close Back Next

The following fields should be displayed during Closure of Guarantee/SBLC Issued:

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Read only field. System defaults the components based on the product selected.	
Currency	Read only field. System displays the currency for components.	
Debit/Credit	Read only field. System defaults the debit/credit indicators for the components.	
Account	Read only field. System displays the account number chosen.	

Field	Description	Sample Values
Account Description	Read only field. System displays the account description for the account chosen.	
Account Currency	Read only field. System displays the account currency for all items based on account number.	
Netting Indicator	Read only field. System displays the applicable netting indicator.	
Current Event	Read only field. System displays the current event a Y or N.	

On click of any component in the grid, the application displays Party Details, Payment Details and Remittance Information.

Party Details

Provide the party details based on the description in the following table:

Field	Description	Sample Values
Transfer Type	Select the transfer type from the drop list: <ul style="list-style-type: none"> • Customer Transfer • Bank Transfer for own account • Direct Debit Advice • Managers Check • Customer Transfer with Cover • Bank Transfer 	
Charge Details	Select the charge details for the transactions: <ul style="list-style-type: none"> • Beneficiary All Charges • Remitter Our Charges • Remitter All Charges 	
Netting Indicator	Select the netting indicator for the component: <ul style="list-style-type: none"> • Yes • No 	
Ordering Customer	Select the ordering customer from the LOV.	
Ordering Institution	Select the ordering institution from the LOV.	
Senders Correspondent	Select the senders correspondent from the LOV.	
Receivers Correspondent	Select the receivers correspondent from the LOV.	
Intermediary Institution	Select the intermediary institution from the LOV.	

Field	Description	Sample Values
Account with Institution	Select the account with institution from the LOV.	
Beneficiary Institution	Select the beneficiary institution from the LOV.	
Ultimate Beneficiary	Select the ultimate beneficiary from the LOV.	
Intermediary Reimbursement Institution	Select the intermediary reimbursement institution from the LOV.	

Payment Details

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver 1	Provide the sender to receiver message.	
Sender to Receiver 2	Provide the sender to receiver message.	
Sender to Receiver 3	Provide the sender to receiver message.	
Sender to Receiver 4	Provide the sender to receiver message.	
Sender to Receiver 5	Provide the sender to receiver message.	
Sender to Receiver 6	Provide the sender to receiver message.	

Remittance Information

Provide the Payment Details based on the description in the following table:

Field	Description	Sample Values
Payment Detail 1	Provide the payment details.	
Payment Detail 2	Provide the payment details.	
Payment Detail 3	Provide the payment details.	
Payment Detail 4	Provide the payment details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Click the Documents icon to Upload the required documents. Application will display the mandatory and optional documents.	

Field	Description	Sample Values
Remarks	<p>Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Guarantee Advice DE inputs.	
Hold	<p>The details provided will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Back	Click Back to move to previous step in DE stage.	

Field	Description	Sample Values
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary of details updated in DE Guarantee Advice Closure request.

Log in to Oracle Banking Trade Finance Process Management (OBTFFPM) system, user can see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary tiles into respective data segments.

Islamic Guarantee Advice Closure
DataEnrichment :: Application No:- PK2IGCD000011999

Documents Remarks Overrides Customer Instruction Common Group Messages View Undertaking

Main Details Additional Fields Advices Additional Details Settlement Details Summary

Summary Screen (6 / 6)

Main Details	Additional Fields	Advices	Limits and Collaterals
SBLC/Guarantee Type : OTHR Submission Mode : Desk Date of Issue : 2021-05-05	Click here to view Additional fields	Advice 1 : LC_CLOSE_ADV Advice 2 : PAYMENT_ME	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 266.64 Collateral Status : Not Verified
Commission,Charges and Taxes	Preview Message	Parties Details	Settlement Details
Charge : GBP50 Commission : Tax : Block Status : Not Initia	Language : ENG Preview Message : -	Beneficiary : GOODCARE PLC Confirming Bank : RABO BANK Applicant : MARKS AND	Component : LICOURAMND Account Number : PK20010440 Currency : GBP
Accounting Details			
Event : CLOS AccountNumber : 620000001 Branch : PK2			

Audit Reject Refer Hold Cancel Save & Close Back Next Submit

Tiles Displayed in Summary

- Main Details - User can view application details and Guarantee Advice Closure details.
- Additional Fields - User can view the additional field details.
- Advices - User can view the advices details.
- Settlement Details: User can view the Settlement details.
- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes.
- Preview Message : User can view the SWIFT message and Mail Advice.
- Party Details - User can view party details like beneficiary, advising bank etc.
- Accounting Details: User can view the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message "Value Date is different from Transaction Date for one or more Accounting entries."

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Click the Documents icon to Upload the required documents.</p> <p>Application will display the mandatory and optional documents.</p>	
Remarks	<p>Click the Remarks icon to provide any additional information regarding the Guarantee Advise. This information can be viewed by other users processing the request.</p> <p>Content from Remarks field should be handed off to Remarks field in Backend application.</p>	
Overrides	Click to view overrides, if any.	
Incoming Message	Clicking this button allows the user should be able to see the message in case of STP of incoming MT 767.	
View Undertaking	Clicking this button allows the user should to view the undertaking details.	
Submit	<p>Task will get moved to next logical stage of Guarantee Advice.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the DE Stage Inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Back	Click Back to move to previous step in DE stage.	

Multi Level Approval

User can review and approve the Guarantee Advise Closure. The user can view the summary of details updated in multilevel approval stage for Guarantee Advise Closure request.

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.

Authorization Re-Key (Non-Online Channel)

For non-online channel, application will request approver for few critical field values as an authorization step. If the values captured match with the values available in the screen, system will allow user to open the transaction screens for further verification. If the re-key values are different from the values captured, then application will display an error message.

Open the task and re-key some of the critical field values from the request in the Re-key screen. Some of the fields below will dynamically be available for re-key.:

- Currency
- Contract Amount

Re-key is applicable to the first approver in case of multiple approvers. All approvers will however be able see the summary tiles and the details in the screen by drill down from tiles.

Approval Rekey
✕

Documents
Remarks

Currency

USD
▼
✓

Contract Amount

\$1,000.00
✓

Refer
Close
Proceed

Approval Summary

Islamic Guarantee Advice Closure
Approval Task Level 1 : Application No:- PK2IGCD000011999

Documents
Remarks
Overrides
Customer Instruction
Common Group Messages
View Undertaking

Main Details	Additional Fields	Advices	Limits and Collaterals	Commission,Charges and Taxes
SBLC/Guarantee Type : OTHR Submission Mode : Desk Date of Issue : 2021-05-05	Click here to view : Additional Fields	Advice 1 : LC_CLOSE_ADV Advice 2 : PAYMENT_ME	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 266.64 Collateral Status : Not Verified	Charge : GBP50 Commission : Tax : Block Status : Success
Preview Message	Parties Details	Settlement Details	Exception(Approval)	Accounting Details
Language : ENG Preview Message : -	Beneficiary : GOODCARE PLC Applicant : MARKS AND Confirming Bank : RABO BANK	Component : LICOURAMND Account Number : PK20010440 Currency : GBP	EXCEPTION : Nil	Event : CLOS AccountNumber : PK20010440 Branch : PK2

Audit

Reject
Hold
Refer
Cancel
Approve

Tiles Displayed in Summary:

- Main Details - User can view application details and Guarantee/SBLC Closure details.
- Additional Fields - User can view the additional field details.
- Advices - User can view the advices details.
- Settlement Details: User can view the Settlement details.
- Commission, Charges and Taxes - User can view the details provided for commission, charges and taxes.
- Preview Message - : User can view the SWIFT message and Mail Advice.
- Party Details - User can view party details like beneficiary, advising bank etc.

- Accounting Details: User can view the accounting details.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance- Limits • R5 - Others 	
Cancel	<p>Cancel the Guarantee Advice approval.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.</p>	

Customer - Acknowledgement letter Format

Customer Acknowledgment is generated every time a new Guarantee Advise is requested from the customer. The acknowledgment letter format is as follows:

To:

<CUSTOMER NAME>DATE: DD-MM-YYYY

<CUSTOMER ADDRESS>

Dear Sir,

SUB: Acknowledgement to your Closure of Guarantee Advise Application number <CUSTOMER REFERENCE NUMBER> dated <APPLICATION DATE>

This letter is to inform you that we have received your application for Closure of Guarantee Advise with the below details:

APPLICANT: <APPLICANT NAME>

BENEFICIARY NAME: <BENEFICIARY>

CURRENCY: < CCY>

AMOUNT: <AMT>

DATE OF ISSUE: <DATE OF ISSUE>

We have registered your request. Please quote our reference < PROCESS REF NUMBER> in any future correspondence.

This acknowledgement does not constitute Closure of Guarantee/SBLC Issued.

Thank You for banking with us.

Regards,

<DEMO BANK>

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Customer - Reject Letter Format

Reject Letter is generated by the system and addressed to the customer, when a task is rejected by the user. The Reject Letter format is as follows:

FROM:

<BANK NAME>

<BANK ADDRESS>

TO:

DATE <DD/MM/YYYY>

<CUSTOMER NAME>

<CUSTOMER ADDRESS>

<CUSTOMER ID>

Dear Sir,

SUB: Your Guarantee Application for Closure of Guarantee Advise <User Ref> under our <Process Reference Number> under our Process Ref <Process Ref No> - Rejected

Further to your recent Closure of Guarantee Advise application request dated <Application Date –DD/MM/YYYY>, under our process ref no <process ref no>, this is to advise you that we will not be able to close the required Guarantee Advise.

After a thorough review of your application and the supporting documents submitted, we have concluded we will not be able to issue the Guarantee due to the below reasons:

<Reject Reason 1>

<Reject Reason 2>

<Reject Reason 3>

On behalf of Demo Bank, we thank you for your ongoing business and trust we will continue to serve you in future.

For any further queries about details of your Closure of Guarantee/SBLC Issued application review, please contact us at our bank customer support ph.no xxxxxxxxxxxx

Yours Truly

Authorized Signatory

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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